



valbury 
CAPITAL

It's personal.

| Valbury Capital



A contemporary
broker with
traditional ideas
about service.

The broking market is going through a period of rapid, client driven change. Change demands a fresh perspective. We founded Valbury Capital in anticipation of the need for a high quality, full service brokerage dedicated to the interests of the expanding mid-market. A genuine alternative for those increasingly under-served in the new market order.

In a space dominated by aggressive platform providers and dispassionate institutions, we take a uniquely personal approach. By getting to know our clients better, we believe we're better placed to exceed their expectations, building committed, long-term relationships.

A more flexible and responsive model allows us to deliver a product and service offering wholly based on client need, accessed via the latest industry-leading technology, seamlessly integrated with expert voice execution.

Valbury is an established independent provider, a boutique firm with global reach. We're based in the City of London, committed to the highest standards of compliance and regulation, without the conflict of proprietary trading goals.

We serve a broad spectrum of experienced private and institutional clients worldwide, but they all share one thing in common: a desire to see the traditional values of service, partnership, integrity and respect at the core of their broker relationship.



MARK HANNEY

Chief Executive Officer
Valbury Capital



Personal relationships are the heart of our business. Relationships built through daily contact, in face-to-face meetings or over a convenient call. We invest our time in getting to know you better, so you can feel confident investing your trust in us.

Our clients deal directly with their dedicated personal broker; a market expert with an absolute commitment to understanding and responding to your individual requirements. An experienced single point of contact managing your relationship from trade to settlement. Someone who's always on your side.

No two clients are the same. And nor is the relationship they demand. We've developed a uniquely flexible, tailored model where you define the level of contact, comment and support you require. A framework where your input helps us adjust, extend and continually enhance the service we provide.

So whatever your priorities, you have our undivided attention.

A unique and personal relationship with your broker.



We believe the mid-market deserves better.

In an increasingly polarised marketplace, traditional mid-market clients have become casualties of change.

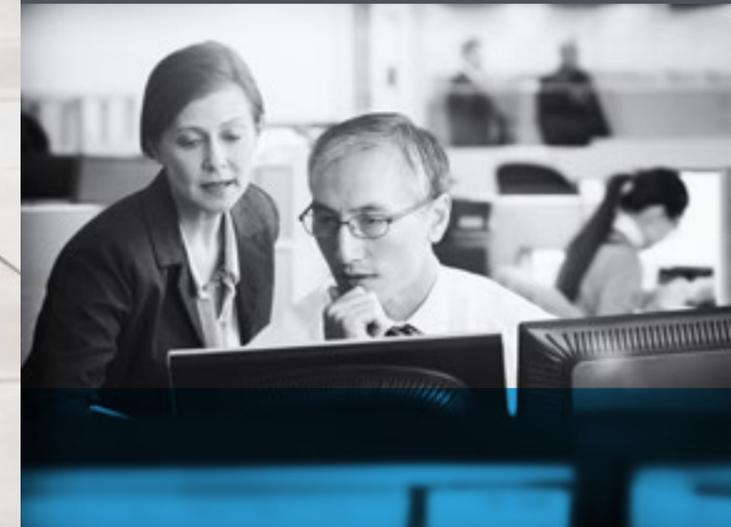
Increasingly under-served or abandoned by the larger groups, but unsuited to the retail model, experienced mid-cap traders are far too often unable to access the markets they want with the service standards they require.

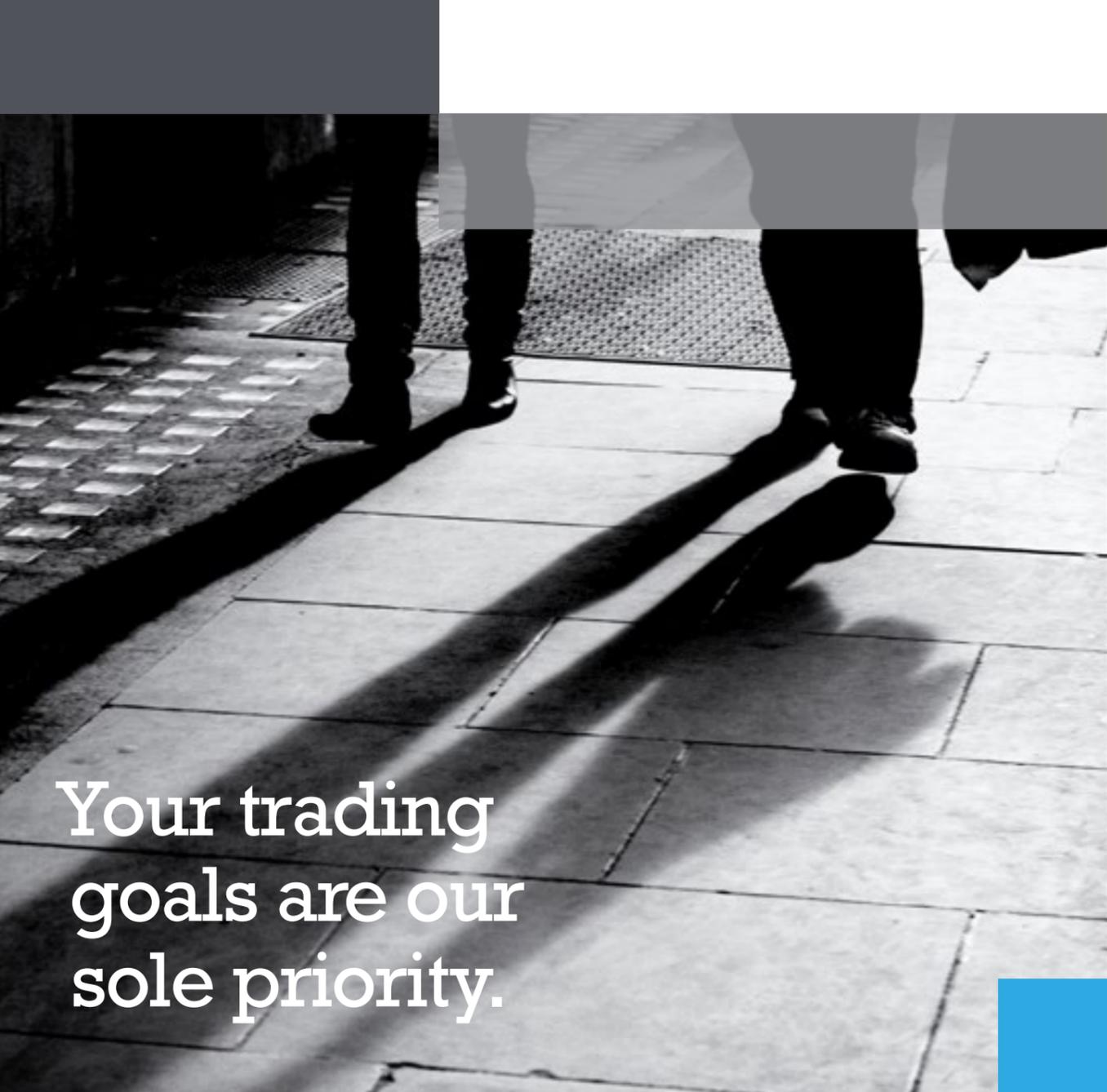
Valbury, by contrast, is specifically structured to meet the distinct expectations of a global middle market client base. And like our clients, we're also mid market, so we're ideally placed to understand their needs.

We're truly multi-product, with expertise across every major asset class. We're platform neutral, providing a range of industry standard technologies, with direct market access and reliable clearing via established leading partners.

Expert voice broking is fundamental. You deal directly with experienced, CF30 qualified brokers only, capable of delivering valuable market comment as well as helping to execute more sophisticated trades. We're fully regulated with a compliance function that can accommodate complex requirements without a restrictive value floor.

For mid-market clients we promise institutional-grade service - with the lighter touch of a smaller, independent-minded firm.





Your trading goals are our sole priority.

We are wholly independent and take no proprietary positions in the markets in which we operate.

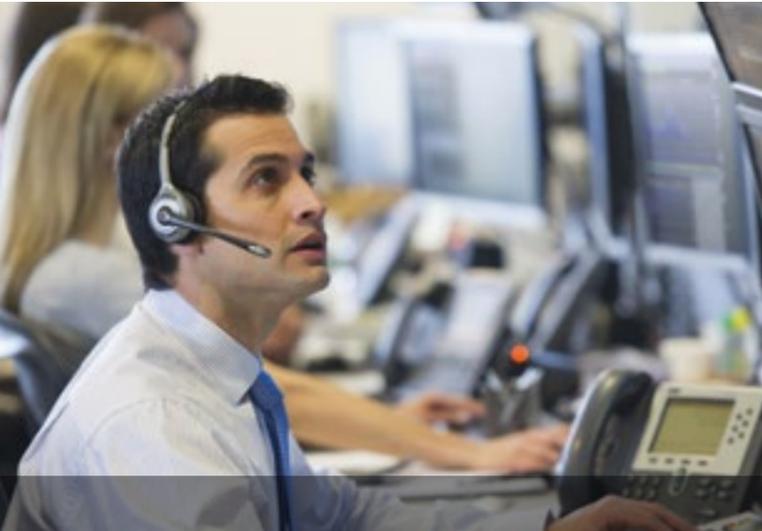
We are free, therefore, from the conflicts those positions can imply. Any order you make is placed directly into the market, and we won't trade against you for profit or to cover exposure. Our commercial model is such that we never have to put our own interests ahead of our clients'. Our success is directly aligned with your own.

And because we don't take proprietary positions, we don't carry exposure to sudden, unexpected market moves. So in turbulent times you can take confidence from that stability and our consistent commitment to meeting your demands.

As a result we are enthusiastically single-minded in the pursuit of our clients' goals, and unconditionally dedicated to helping you achieve them.



| comprehensive



We offer a comprehensive, client-driven product range, connecting you to key markets and major exchanges worldwide. Our expertise covers all major asset classes; FX, Futures & Options, Commodities, Equities and Fixed Income. Whatever your trading priorities, our experienced broking teams can accommodate a wide and inclusive variety of requests.

Our clients enjoy highly personalised service standards. Traditional voice broking, access to leading-edge technology, or the seamless integration of the two. With the choice and flexibility to match your individual trading preferences.

We're platform neutral, unconstrained by proprietary technology or commitment to a single provider. We offer access to a suite of established, leading technologies to maximize your trading potential. We keep pace with technical evolution, so you can take full advantage of the latest platform advances.

And should you need support beyond our core services, our professional network and partnerships connect clients to expertise in research and market analysis, wealth management and banking services.

Multi-asset, multi-platform, full service. A complete gateway to the global markets.





You can be
confident we
will act openly,
honestly and
fairly in all
we do.

We're committed to a culture that embraces the highest standards of compliance, governance and risk management, assuring you of every safeguard you demand. Our experienced management team are guided by a philosophy of integrity, professionalism and responsibility. Every client is treated with decency and respect.

We're based in the City of London, a licensed member of the London Stock Exchange, authorised and fully regulated by the Financial Conduct Authority. As part of this advanced regulatory framework we are bound to comply with FCA Client Conduct Rules, maintain appropriate capital at all times and keep our clients' assets legally segregated from our own funds.

So you can trust we will always put your interests at the heart of our business.

This isn't just a principle. It's a promise.



| at a glance

Valbury Capital is an independent broker serving the needs of experienced private and institutional clients worldwide. We are a full service brokerage, bringing traditional client service values to today's electronic trading world.



- Mid-market specialists. A service and product portfolio specifically tailored to the particular requirements of mid-cap clients.
- Highly flexible and responsive client model, offering the highest standards of execution and service.
- Personal voice broking and access to a choice of the industry's leading technology platforms.
- Comprehensive asset range across global markets, including FX, Futures & Options, CFDs, Commodities, Equities and Fixed Income.
- Direct market access via leading banking and clearing partners, assuring competitive pricing and deep, transparent multi-source liquidity.
- No trading desk model; non-conflicted by proprietary trading goals.
- Extended advisory and wealth management services through formally established partnerships.
- Specialist knowledge of the Asian markets combined with a deep understanding of the needs of Asia-based clients.
- Based in the City of London, a member of the London Stock Exchange and fully FCA regulated.



**An established,
independent broker
with a boutique culture.**

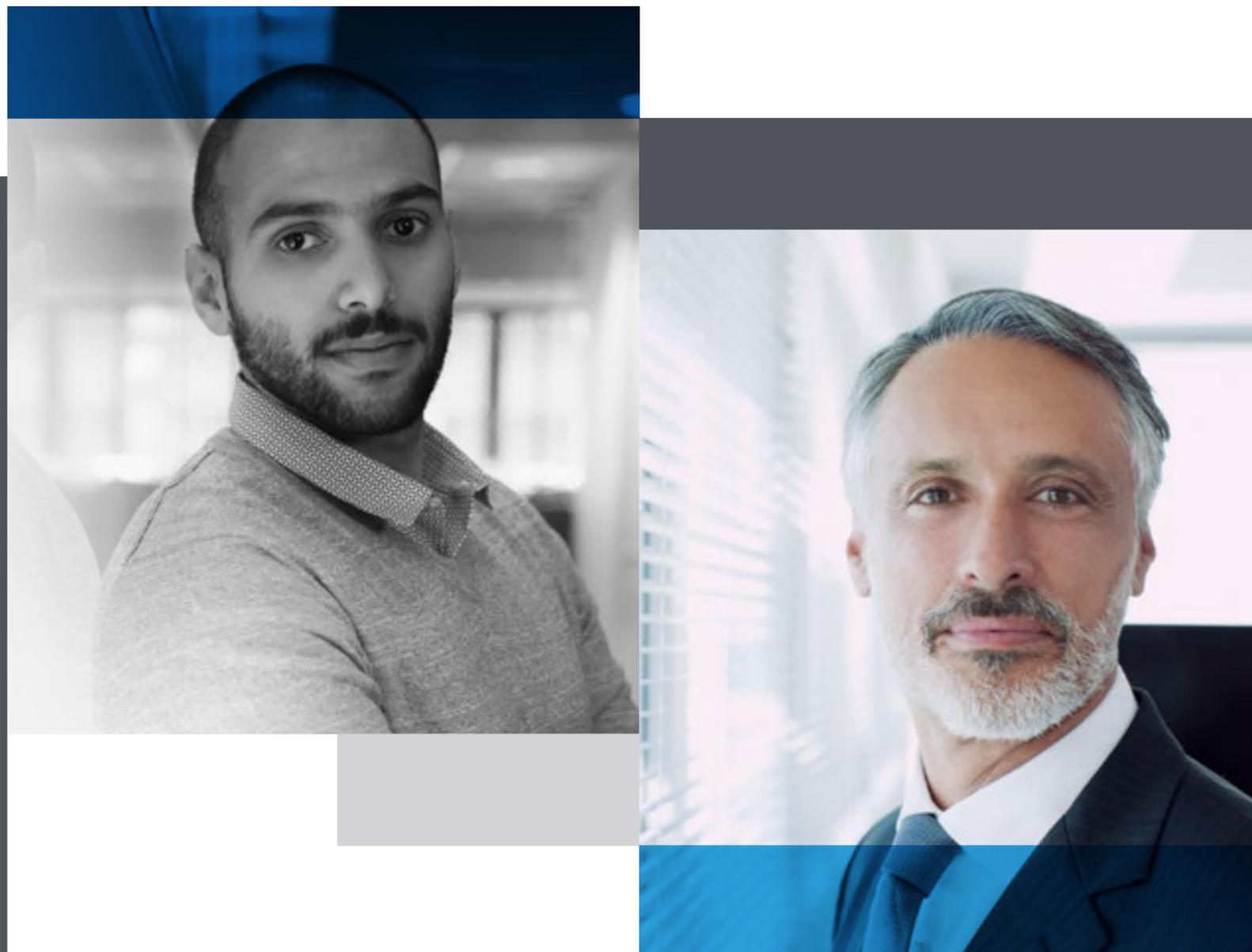
Valbury Capital serves the specific needs of experienced mid-market traders worldwide. Private and professional clients looking for a more agile, personal and flexible approach. We build trusted, long-term relationships by taking the time to understand your particular requirements, to provide a truly individualized service.

CORPORATE & INSTITUTIONAL CLIENTS

Valbury Capital is ideally structured to meet the growing demands of mid-cap corporate and institutional clients increasingly under-served by their traditional broking and clearing partners.

We provide market professionals with the scale, service, tools and support to deliver against strategic trading objectives. Timely, reliable, execution and streamlined administration customized to your requirements, so you can free critical time and resources to focus on core expertise.

We act on behalf of asset and wealth managers, hedge and pension funds, banks, trading groups, family offices and broker dealers, providing global product coverage, world-class technology, deep DMA liquidity, dedicated corporate client management and 24-hour support. Helping to place more complex trades in the market through a single relationship-focused team.



PRIVATE CLIENTS

Every client is unique, and for experienced individual investors we pride ourselves on providing the kind of personalized service normally reserved for institutional clients alone.

Our private clients enjoy direct market access via the leading trading platforms, with the additional benefit of voice execution through our experienced broking team. We will never trade against your orders and we're committed to best-price execution at competitive rates. Once traded, our custody services ensure settlement, security and income collection.

However you choose to trade, you can rely on the support of a dedicated personal broker; a market expert who understands your objectives, provides market commentary and acts as the single point of contact across all your interests.

| products



We've built a structured and comprehensive product offering driven wholly by our clients' needs and preferences. So whatever your focus, we can connect you to more of the world's leading markets and exchanges, with access to deep liquidity via leading banking partners.

FUTURES & OPTIONS

- Financial Indices
- Stocks
- Bonds & Government Debt
- FX
- Metals
- Energy
- Agriculture

FIXED INCOME

- Corporate
- Government
- Treasury
- Municipal
- Emerging Markets

EQUITIES

- Cash Equities
- Equity Finance

FX

- FX Spots, Forwards, NDFs, Options
- Precious Metals
- Deliverable FX

COMMODITIES

- Metals
- Energy
- Agriculture

CFDs

- FX Spot
- Gold & Precious Metals
- Index CFDs
- Single-stock CFDs
- ETF CFDs
- Financial Futures
- Commodity Futures

We're not in the business of one size fits all. We give you access to a considered range of industry-leading platforms and sophisticated tools you can tailor to suit your own personal trading preferences. Fast, secure, reliable gateways to the global markets, with low latency and DMA liquidity from top-tier institutions to ensure transparent and competitive pricing.

- Trading Technologies
- PATS J-Trader
- PATS Pro-Mark
- MetaTrader 4
- Trader Tools
- VCL Direct
- Fidessa
- Bloomberg
- VCL Multi Trader
- API connectivity

Each platform integrates seamlessly with voice broking, order management and reporting to provide complete, institutional-grade service for all our clients.

technology |



A choice of market-leading platforms.

Committed to building your business.

We work with you to help your business grow. We offer best-in-class tools and technology, a comprehensive product range and outstanding professional service. Our business partnerships provide an extensive suite of tailored services designed to support lasting relationships that create value for us both.

INTRODUCING BROKERS

Present your clients with a complete trading platform. Whether you're a broker with self-trading clients, a money or portfolio manager, our IB programme can help you develop your client offering by connecting you to our infrastructure, products and expertise.

Our unique turnkey solutions reward you with an attractive rebate structure, with flexible service and pricing options. We can manage client application and onboarding, deliver leading platforms with deep liquidity across a wide product range, and promise outstanding post-execution services, including tailored statements, client and management reporting and 24hr technical support. All with the comfort and security of partnering with a fully FCA regulated broker.

It's exactly the same commitment to excellence our existing direct clients have come to expect.

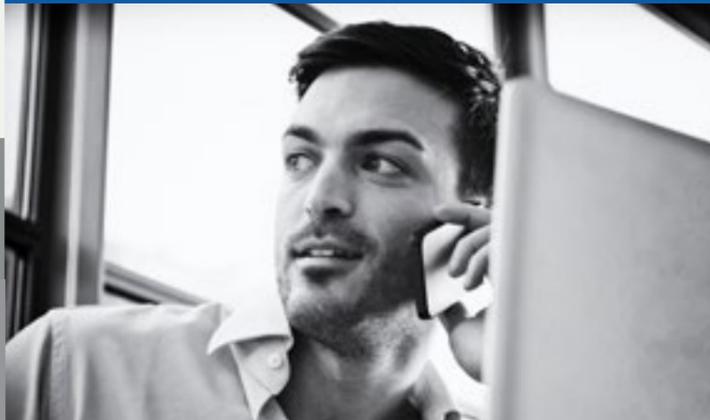
WHITE LABEL & API PARTNERSHIPS

Your firm, our proven technology. We can help maximise your revenue opportunities with advanced white label solutions to attract, build and retain client value. Your clients get access to additional platforms, products and services, all delivered under your brand.

Alternatively, our API solutions provide direct access to our pricing, DMA liquidity and exchange connectivity through your own existing trading platforms.



We look forward to hearing from you.



GENERAL ENQUIRIES

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SALES

Contact our Sales Department to ask about opening a new account, our product portfolio or trading platforms.
Email: sales@valbury.co.uk
Tel: +44 800 1223 160
Monday to Friday from 8:00am to 8:00pm CET/CEST.

CLIENT MANAGEMENT

Our Client Management team is here to help with account applications, changes to your account, deposits and withdrawals.
Email: clientmanagement@valbury.co.uk
Tel: +44 800 1223 150
Monday to Friday from 8:00am to 8:00pm CET/CEST.

RISK WARNING

Our Investment products and services are not suitable for everyone. It is possible to lose all or part of your investment. Trading on margin carries a higher level of risk and it is possible to be required to deposit additional funds. You should not invest money that you cannot afford to lose.

DISCLAIMER

This brochure has been prepared by Valbury Capital Limited. Information provided herein constitutes marketing material. It is not investment advice or based on consideration of your personal circumstances and it does not constitute an offer or invitation to enter into any type of financial transaction. For further information and advice please contact our Sales Department. No guarantee is made regarding reliability or completeness of this document, nor will any liability be accepted for losses that may arise from its use.

Valbury Capital Limited is a company registered in England and Wales with registration number 07393159. Registered office: 5 Market Yard Mews, 194-204 Bermondsey Street, London, SE1 3TQ. Principal place of business: 11th Floor, 30 Crown Place, London EC2A 4EB. Valbury Capital Limited is authorised and regulated in the provision of financial services by the Financial Conduct Authority (FCA), and, authorised by the FCA under Payment Services Regulations 2009 for the provision of payment services, FRN 540418. Member of the London Stock Exchange. Member of the Futures Industry Association.