

INDIVIDUAL ACCOUNT APPLICATION

Please complete all sections. Please, type or print clearly using BLOCK CAPITALS failure to do so could cause delay in opening your account. Please call +44 800 1223 150 should you have any questions or email to clientmanagement@valbury.co.uk

PERSONAL DETAILS

Title Mr Mrs Miss Ms Other

First (given) name(s)

Last name (surname)

Date of birth DD MM YYYY

Place of birth (town and country)

Citizen of (country)

Country of tax residence

Taxpayer Identification Number (or equivalent)

Name of joint applicant (if applicable)

RESIDENTIAL ADDRESS

Address Line 1

Address Line 2

City/Town

County/Province/State

Postcode/Zipcode

Country of Residence

Time at current address YEARS MONTHS

If less than 3 years please provide previous address

CONTACT TELEPHONE NUMBERS FOR THIS ACCOUNT

Primary Number

Secondary Number

EMAIL ADDRESS

Please note: we will send all correspondence to this email address.

EMPLOYMENT DETAILS

Employment Status

Employed Not Working Self-employed Retired

Employer/Business

Nature of Business

Position Held

Is your employer/business a regulated financial services firm?

YES NO

If so, please name the regulator

FINANCIAL INFORMATION

Annual Pre-Tax Income

\$0 - \$50,000 \$50,000 - \$100,000 \$100,000 and more

Estimated Total Liquid Assets (Cash & Investments)

\$0 - \$50,000 \$50,000 - \$100,000 \$100,000 and more

Main source of Income/Assets

Business/Employment Pension Investment Inheritance

PRODUCT CHOICE

Which products are you likely to trade: this will help us place you with the appropriate system (multiple selections possible)

Spot FX Futures & Options CFDs

Equities Bonds/ Fixed Income

TRADING EXPERIENCE

To what extent over the last 3 years have you traded in the following?

Over the counter (OTC) derivatives such as FX and CFDs

Frequently Occasionally Rarely or Never

Exchange traded derivatives (ETD) such as FUTURES and OPTIONS

Frequently Occasionally Rarely or Never

Securities such as SHARES and BONDS

Frequently Occasionally Rarely or Never

Do you have educational, professional qualifications or work experience that allow you fully to understand the risks of trading in exchange-traded or OTC derivatives?

YES NO

If yes please provide details:

WHAT IS THE PURPOSE OF OPENING AN ACCOUNT?

- Investment
- To Achieve Speculative Gains
- Hedge against investment or Trade risk
- Dealing on behalf of clients
- Other (please specify)

ELECTRONIC INFORMATION

Do you require copies of contract notes and statements to be provided to another person?

YES NO

If yes, please provide us with an appropriate email address

Please note we will send all information and statements electronically to your email address and/or your trading account.

Should you require material in paper format please call the following number +44 800 1223 150 or email clientmanagement@valbury.co.uk for an explanation of our charges.

SECURITY QUESTION

Choose one option only.

We may ask you to confirm this when you call Valbury Capital Limited.

Where was your mother's birth place?

What was the make of your first car?

Who was your first employer?

Security Answer

BANK DETAILS

Please provide details of the bank account from which the account will be funded. All funds received are converted to your account base currency or the currency of instruments traded as needed.

Please note we will only accept funds from a bank account in the account holder's name and will only return funds to that account. IBAN/BIC numbers are required when sending funds back to your bank.

Beneficiary Name

Bank Name

Bank Address

Account Number

Sort Code

IBAN

SWIFT/BIC code

DECLARATION

I agree that you may make anti-money laundering, credit reference and other checks about me and any other persons named in the application and may collect, process and transmit personal information about me/us as set out in your Privacy Policy and Terms of Business (and I have permission from those other persons to provide this confirmation). This may include (and is not limited to) collecting and using information about the operation of the account, providing information to any person who introduced me/us to you, and transferring personal information to your service providers, including providers who are based overseas. You may also provide me/us with information about your other products and services. and I/we can opt-out of receiving such information by writing to you.

YES NO

I understand that information may be provided to HMRC for onward reporting to the tax authorities of any jurisdiction in which I am tax resident.

YES NO

I confirm that I understand the risks of the products and services you provide

YES NO

and confirm my acceptance of them.

YES NO

I confirm that I have read and understood and that I agree to be bound by the Valbury Capital Limited:

- Terms of Business
- Summary Conflicts Policy
- Summary Execution Policy
- Risk Warning

Each of which can be found at: www.valburycapital.com/regulation/

YES NO

I confirm my consent to the execution of my orders outside a regulated market or Multilateral Trading Facility (MTF).

YES NO

I am over 18 and all the information I have provided is true and correct and I agree to promptly inform you of any significant changes.

YES NO

I acknowledge that I am liable for all activity on the account, and in the event of a joint account, jointly and severally liable.

YES NO

I confirm my consent to the provision of information by way of a website.

YES NO

I confirm I am not a citizen of or resident of the United States

YES NO

Signature:

Name:

Date: DD MM YYYY

WHAT TO DO NEXT

Completed application forms should be scanned and emailed to the following email address apply@valbury.co.uk (You may wish to wait until you have collated all documents needed before emailing us the full information.)

Please send any postal applications to the following address:

**Client Management,
Valbury Capital Ltd,
5th Floor
85 Gresham Street
London,
EC2V 7NQ**

Please include a copy of the following supportive documentation for each applicant.

Proof of Identity

One valid and unexpired government issued document showing your full name and photograph, and date of birth such as:

- Passport (Both identification and signature page required if separate)
- National Identity Card
- Photo card Driver's Licence
- Government Issued Photo ID

Proof of Residence

This document needs to be less than 6 months old issued by a government, public sector body or judicial authority which displays the applicant's full name and current address such as the following:

- Bank Statement (We do not accept on-line printed statements)
- Credit Card Statement
- Government Issued Document
- Utility Bill (not a mobile phone bill)

Once you have collated the information requested, we recommend you make good clear copies and send to the following: email address; apply@valbury.co.uk

FUTURES AND OPTIONS ACCOUNTS

For accounts wishing to trade Futures & Options we will require the following documentation in addition to the required corporate documentation and the 'Futures & Options Profile' form to be completed:

- Current Business Bank Statement
- Trading History

UNDERSTAND THE RISKS INVOLVED

Before completing your application, please ensure that you have read our Terms of Business, Order Execution Policy, Conflict of Interest Policy and Risk Disclosure. If you have any questions do not hesitate to contact us on clientmanagement@valbury.co.uk

If we are unable to verify your information we will notify you by email with what is necessary to complete the application.

FUNDING YOUR ACCOUNT

Please be aware that your account will not be fully opened until the funding for the account is in place.

Funding should be sent using the banking instructions below. Please make sure when sending funds that you have the correct account information.

We accept GBP, EUR, and USD. All funds received are converted to your account base currency or the currency of instruments traded as needed.

Any funds held with Valbury Capital Ltd are held in segregated accounts unless we have agreed otherwise.

If possible please reference any transfer of these funds with your account name.

Banking Instructions in the UK Barclays Bank PLC

1 Churchill Place
London E14 5HP

Settlement Instructions - GBP

Sort Code: 20-19-90
Account: 53571424
BIC/SWIFT Code: BARCGB22
IBAN: GB77BARC20199053571424

Settlement Instructions - EUR

Sort code: 20-19-90
Account: 88843488
BIC/SWIFT Code: BARCGB22
IBAN: GB58BARC20199088843488

Settlement Instructions - USD

Sort code: 20-19-90
Account: 85207122
BIC/SWIFT Code: BARCGB22
IBAN: GB92BARC20199085207122

Banking Instructions in Hong Kong

DBS Bank (Hong Kong) Ltd
73rd Floor, The Center
88 Queens Road Central
Central Hong Kong

Settlement Instructions - USD

Account: 7802129500
BIC/SWIFT Code: DHBKHKHH

For joint accounts we accept funding only from nominated by the first applicant.

We are authorised and regulated by the Financial Conduct Authority, registered number **540418**.